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# Understanding clinical research trials

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# Goals of this presentation

- Better understand clinical research trials
  - What are they?
  - What are they for?
  - As a patient
    - Why should I be interested?
    - Are they safe?



# A clinical research trial

- Study conducted in humans
- Objectives
  - Improve the diagnosis, prevention and treatment of a medical condition
  - Improve the quality of life associated with a medical condition or its treatment
  - Expand understanding of this medical condition

<https://www.nih.gov/health-information/nih-clinical-research-trials-you/basics>

# Developing a clinical trial

- The starting point is a specific question
- Examples
  - Does a new product have an anti-cancer effect?
  - What is the optimal dosage of a new treatment?
  - Is the new anti-cancer treatment better than the one now being used?
    - In terms of efficacy?
    - In terms of tolerability?



# Development of a clinical trial

- A clinical trial can be conducted of
  - A new therapy developed in the laboratory
  - A promising therapy in an animal model
  - A drug already used on humans but for a different disease, a different dosage or a different route of administration
  - A new combination of already known drugs
- A clinical trial
  - Ensures a rigorous/safe framework
  - Seeks accurate and precise results



# Methodology

- The number of participants and their monitoring is defined by statistical calculations based on the study objectives, among others
- For example: a study that evaluates the safety of a new drug will require fewer participants than a study that compares the response time to two different therapies



# Methodology

- Interim statistical analyses (before the study ends) may be planned to ensure the safety of the participants
- The best interest and the safety of the participants are in the forefront



# Eligibility for a clinical trial



- Inclusion and exclusion criteria are predefined
  - **No exception or deviation allowed!**
  - This is related to the safety of the participants and the validity of the study!
- The inclusion and exclusion criteria may be related to
  - The participant's medical history
    - Cytopenia, kidney or liver function
    - Prior hepatitis B
    - Treatments received previously
  - The histology involved
    - A study of follicular lymphoma cannot include participants with a chronic lymphoid leukemia
- The recruiting time for a trial is limited
  - Even if you satisfy the criteria, if the study is closed, you cannot participate in it





# Principal types of clinical research trials

- Phase 0
  - Analysis of human body reactions exposed to the drug
  - Rarely used and very few participants
- Phase I
  - Safety analysis and finding the optimal dose
- Phase II
  - Evaluate the effectiveness of a new treatment
- Phase III
  - Compare a new treatment with the standard treatment standard
- Phase IV
  - Find long-term side effects



# Phase I

- Find the dose and optimal administration route
- Safety analysis
  - Phase I is often the first time that a particular drug (or combination) is used in humans
  - Phase I may also be the first time that a known drug is used for a new indication
    - Example: using a drug that is approved and effective with myeloma against lymphoma
  - Analyses of pharmacokinetics
  - Very close monitoring of side effects in small groups
  - Efficacy of a product explored in future phases



# Phase II

- Focus on efficacy
- More participants than Phase I (often < 100)



# Phase III

- Compares the efficacy of an innovative treatment to the current standard treatment
- Even more participants than in Phase II trials
  - Often > 100 to + 1,000 participants
  - Usually takes place at several sites, cities and/or countries

**Phase III has the most impact  
on medical practice**



# Phase III: randomization

- A randomized phase III study offers various therapeutic options and the participants are directed toward one of these options by chance
- Neither the principal investigator nor the patient can choose the treatment option

<http://www.ger.ethique.gc.ca/fra/policy-politique/initiatives/tcps2-eptc2/chapter11-chapitre11/>



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# Phase III: randomization

- **Clinical equipoise**
  - Basic principle justifying randomization
  - Ethical principle is that there is genuine uncertainty in the expert medical community about the best choice of treatment among the options studied
- If a study is randomized, it means that there is not enough information to determine which of the therapeutic options is best
- The objective of the study is thus to define the best option

<http://www.ger.ethique.gc.ca/fra/policy-politique/initiatives/tcps2-eptc2/chapter11-chapitre11/>



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# Phase III: study with placebo

- The use of the placebo must
  - Be described in the information and consent form
  - Be justified and accepted by the Ethics Committee



# Phase III: study with placebo

- Example
  - Is the addition of oral treatment X to standard intravenous treatment Y beneficial?
  - The proposed study could have 2 treatment options
    - 1) Intravenous treatment Y + oral treatment X
    - 2) Intravenous treatment Y + placebo
  - The placebo makes it possible to truly isolate the additive effect of oral treatment X from the known benefit of intravenous treatment Y





# Phase III: study with placebo

- The use of the placebo may be hidden from the participant +/- the principal investigator
  - Even if described in the information and consent form

|              | The participant knows if he is getting the placebo | The researcher knows if the participant is receiving the placebo |
|--------------|--|--|
| Single blind | No   | Yes  |
| Double blind | No   | No   |

- If your state of health requires, it is always possible to find out if you are receiving the experimental drug or the placebo

# Phase IV

- Seeks the benefits or delayed side effects that may occur once the drug is approved



# Safety of clinical trials



- The trials are subject to strict regulations intended to avoid negligence or abuse of participants
  - Helsinki Declaration
  - *Good clinical practices* (international)
  - Health Canada *Division 5 Regulations*
  - Random external auditing/monitoring
    - Study sponsor
    - Health Canada
    - *Food and Drug Administration (FDA)*

Respect for the protocol is essential for the safety of participants in the clinical trial



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# Safety of clinical trials



- The Research Ethics Committee of the Institution is in the forefront of trial approval
- It consists of at least 5 persons
  - 2 members with scientific expertise
  - 1 member with knowledge of research ethics
  - 1 member with knowledge of the Canadian law applicable to the protocol
  - 1 member with expertise in a non-scientific field
  - 1 member of the community or 1 interested independent member

# Safety of clinical trials



**PARTICIPATION  
MUST BE FREE AND INFORMED**



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# Safety of clinical trials



- When a research protocol is proposed, the potential participant is given and information and consent form
- This form provides written documentation in clear language about such aspects as
  - The invitation to participate in the study, its purpose, the identity of the investigator and the sponsor of the trial
  - The nature and duration of participation
  - Potential benefits
  - [All associated reasonably foreseeable risks](#)



# Safety of clinical trials



- The information and consent form also provides information about
  - Possible financial compensation
    - Example: parking fees for additional medical visits
  - The possibility of marketing the research results





# Safety of clinical trials



- The principal investigator and team are available to answer your questions
- A reasonable amount of time is allowed to properly read the documentation and understand it
  - You are welcome to talk to your family about the trial if you want

# Safety of clinical trials



- Participants can be withdrawn from the trial at any time
  - NO JUSTIFICATION REQUIRED
- Participants may also decide to have their data or biological materials withdrawn



# Participating in a clinical trial?

- Potential risks
  - Ultimately, the experimental treatment may not be any better than the standard treatment standard
  - You could be randomized to the standard therapeutic option and not to the experimental therapeutic option
  - Unexpected side effects could occur
  - There may be more medical visits, tests and/or imaging

# Participating in a clinical trial?

- Potential advantages
  - Have access to experimental therapies before their possible approval
  - Medical monitoring is sometimes more intense
  - You could contribute
    - To the advancement of knowledge about lymphoma
    - Potentially to the improvement of lymphoma treatment and prognosis

# You are interested in participating in a clinical research trial

- Speak to your doctor
- <http://www.lymphoma.ca/fr/lymphome/cheminement-du-patient/diagnostic/trouver-des-essais-cliniques>



# In conclusion

- Clinical research trial in lymphoma
  - Study conducted in humans
  - Intended to improve lymphoma management
  - Safe and rigorous framework
- Clinical trials are essential
  - Impossible without participants
    - Always free and informed participation
    - Pride from contributing to progress in modern medicine





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